



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (a) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/627,355	07/24/2003	3736	0.00	5986/1K520	29	11	4



07278  
 DARBY & DARBY P.C.  
 P. O. BOX 5257  
 NEW YORK, NY 10150-5257

CONFIRMATION NO. 2328

## FILING RECEIPT



\*OC000000010833405\*

Date Mailed: 09/05/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED BY MAIL

## Applicant(s)

Rodolfo Llinas, New York, NY;  
 Vladislav V. Papko, Nizhny Novgorod, RUSSIAN FEDERATION;  
 Viktor B. Kazantsev, Nizhny Novgorod, RUSSIAN FEDERATION;  
 Vladimir I. Nekorkin, Nizhny Novgorod, RUSSIAN FEDERATION;  
 Vladimir I. Maskarenko, New York, NY;

## Domestic Priority data as claimed by applicant

This appn claims benefit of 60/405,191 08/21/2002

RECEIVED  
 SEP 22 2003  
 NOV 14 2003  
 JUN 15 2004

## Foreign Applications

If Required, Foreign Filing License Granted: 09/05/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

43-182  
 10/627,355  
 10/627,355

Computer \_\_\_\_\_  
 D&D Entry \_\_\_\_\_  
 Looseleaf \_\_\_\_\_  
 Folder \_\_\_\_\_  
 Card \_\_\_\_\_  
 Letter \_\_\_\_\_  
 Foreign Flg. \_\_\_\_\_

Preliminary Class  
562

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (l)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being facsimile transferred to the Commissioner for Patents & Trademarks at the United States Patent and Trademark Office, Alexandria, VA 22313-1450, at 703-308-7751 on the date shown below.

10/20/03 Daisy Perez  
Date Name  
Daisy Perez  
Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Customer No.: 07278

Docket No.: 5986/1K520US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rodolfo Llinas et al.

Serial No.: 10/627,355

Filed: July 24, 2003

For: NEURO-MIMETIC CONTROL SYSTEMS AND METHODS

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:



incorrectly entered  
and/or  
omitted.

Error in	Correct data
<input type="checkbox"/> Applicant's name	
<input type="checkbox"/> Applicant's address	
<input checked="" type="checkbox"/> Title	Neuro-mimetic control systems and methods
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: October 14, 2003



Marie Gilfillan  
Reg. No. 44,085  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
212-527-7700

**DARBY &  
DARBY**  
Professional Corporation



**NEW YORK**  
805 Third Avenue  
New York, NY 10022  
Tel: 212.527.7700  
Fax: 212.753.6237

**SEATTLE**  
1191 Second Avenue  
Seattle, WA 98101  
Tel: 206.262.8900  
Fax: 206.262.8901

## INTELLECTUAL PROPERTY LAW

DATE: October 20, 2003

FILE #: 05986/100K520-US1

FROM: Marie Gilfillan

E-MAIL: mgilfillan@darbylaw.com

PHONE: 212.527.7764

NO. OF PAGES: 5  
(including cover page)

PAGE NUMBER	RECEIVED BY	RECEIVED AT
703-308-5065	United States Patent and Trademark Office Attn: Corrected Filing Receipt Division	N

COMMENTS: Please see attached.

**PLEASE RETURN TO DAISY PEREZ**

\* IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT 212.527.7774

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE SO THAT WE CAN ARRANGE FOR THE RETRIEVAL OF THIS DOCUMENT AT NO COST TO YOU. THANK YOU.

()